Zhongtian (Falcon) Dai

Website: falcond.ai Email: dai@ttic.edu Address: Berkeley, CA.

Education

Toyota Technological Institute at Chicago, Chicago, IL

Ph.D. in Computer Science (defended in September 2022). September 2015 - September 2023.

- > Advised by Professor Matthew R. Walter.
- > Thesis committee: Matthew R. Walter, <u>David McAllester</u>, <u>Avrim Blum</u>.
- > Thesis title: On Reward Structures in Markov Decision Processes.
- > Select courses: learning theory, natural language processing, computer vision, dynamical systems.

The University of Chicago, Chicago, IL

B.S. with Honors in Mathematics and *B.A. with Honors in Physics.* September 2008 - June 2012. Cumulative GPA: **3.76/4.00**.

- > Student Marshal of Class 2012 (top University distinction).
- > James Franck Institute Summer Undergraduate Research Fellowship, 2011.
- > Advanced courses: mathematical logic, graduate quantum mechanics, graduate general relativity.

Publications

- > Yunis D, Jung J, -, Walter MR. <u>Subwords as Skills: Tokenization for Sparse-Reward RL</u>. Neural Information Processing Systems (NeurIPS), 2024.
- > -, Walter MR. <u>Loop Estimator for Discounted Values in Markov Reward Processes</u>. Association for the Advancement of Artificial Intelligence conference (AAAI), 2021.
- > Zheng W, Minama Reddy GK, -, Chandramani A, Brang D, Hunter S, Kohrman MH, Rose S, Rossi M, Tao J, Wu S, Byrne R, Frim DM, Warnke P, Towle VL. <u>Chasing Language Through the Brain: Successive Parallel Networks</u>. Clinical Neurophysiology, 2020.
- > -, Walter MR. <u>Maximum Expected Hitting Cost of a Markov Decision Process and Informativeness of Rewards</u>. Neural Information Processing Systems (NeurIPS), 2019.
- > -, Cai Z. <u>Towards Near-imperceptible Steganographic Text</u>. Association for Computational Linguistics (ACL), 2019. [<u>oral presentation</u>, <u>nominated for best paper awards</u>]
- > Gehrmann S, -, Elder H, Rush AM. <u>End-to-End Content and Plan Selection for Natural Language Generation</u>. International Conference on Natural Language Generation (INLG), 2018.
- > -*, Cai Z*. <u>Gluph-aware Embedding of Chinese Characters</u>. Subword and Character level models in NLP workshop at Empirical Methods in Natural Language Processing conference (EMNLP), 2017.
- > Towle VL, -, Zheng W, Issa N. "Mapping Cortical Function with Event-Related Electrocorticography," in Functional Mapping of the Cerebral Cortex, ed. Richard W. Byrne. (Springer, 2016), 91-104.
- > Brang D, -, Zhang W, Towle VL. <u>Registering Imaged ECoG Electrodes to Human Cortex: A Geometry-based Technique</u>. Journal of Neuroscience Methods, 64-73. 2016.
- > Brang D, Towle VL, Suzuki S, Hillyard SA, Di Tusa S, -, Wu S, Tao J, Grabowecky M. <u>Peripheral sounds</u> rapidly activate visual cortex: evidence from electrocorticography. Journal of Neurophysiology, 3023-3028.

2015.

> Towle VL, Minama Reddy GK, -, Zhang W, Brang D, Hunter S, Kohrman MH, Marcucilli CJ, Tao J, Rossi MA, Frim DM, Byrne RW. Chasing Language Through the Brain: Three Successive Parallel Networks. Society for the Neurobiology of Language Conference, 2014.

Presentations

- > Yunis D, Jung J, -, Walter MR. <u>Subwords as Skills: Tokenization for Sparse-Reward RL</u>. Generalization in Planning workshop at NeurlPS, 2023.
- > -, Walter MR. Loop Estimator for Discounted Values in Markov Reward Processes. Poster session at Algorithmic Learning Theory (ALT), 2020.
- > -, Walter MR. Maximum Expected Hitting Cost of a Markov Decision Process and Informativeness of Rewards. Poster session at Algorithmic Learning Theory (ALT), 2020.
- > -, Walter MR. Finite Time Analysis of Potential-based Reward Shaping. Reinforcement Learning and Decision Making (RLDM), 2019. [Student travel fellowship]
- > Vasiljevic I, Kolkin N, Luo R, Wang H, -, Daniele AF, Mostajabi M, Basart S, Walter MR, Shakhnarovich G. <u>DIODE: A Dense Indoor and Outdoor DEpth Dataset</u>. 3D Scene Understanding for Vision, Graphics, and Robotics workshop at Computer Vision and Pattern Recognition (CVPR), 2019.
- > -, Walter MR. Finite Time Analysis of Potential-based Reward Shaping. Midwest Machine Learning Symposium (MMLS), 2019.
- > -, Cai Z. Towards Near-imperceptible Steganographic Text. Midwest Machine Learning Symposium (MMLS), 2019.
- > -, Cai Z. Towards Near-imperceptible Steganographic Text. Midwest Speech and Language Days (MSLD), 2019.
- > and others at RIPL @ TTIC. Rubik's cube solving robot. National robotics week special exhibit at the Museum of Science and Industry, 2019.
- > -, Walter MR. Reward-adjusted Diameters and Their Conditioning by Potential-based Reward Shaping. Learning by Instruction workshop at Neural Information Processing Systems (NeurIPS), 2018.
- > and others at RIPL @ TTIC. Checkers-playing robot. National robotics week special exhibit at the Museum of Science and Industry, 2018.
- > Schaff C*, -*, Walter MR. <u>Towards Active Imitation Learning</u>. Learning from Demonstrations in High-Dimensional Feature Spaces workshop at Robotics: Science and Systems conference (RSS), 2017.

[Student travel grant award]

- > -, Walter MR. Notepad-Augmented Environments in Reinforcement Learning. Midwest Machine Learning Symposium, 2017.
- > -, Walter MR. Notepad-Augmented Environments in Reinforcement Learning. Midwest Robotics workshop, 2017.
- > -, Cai Z. Glyph-based Visual Chinese Character Embedding. Midwest Speech and Language Days, 2017.
- > -, Nettsheim G. Simulation and Modeling of the Anode of the Proposed Large-Area Picosecond

Photo-Detector. Chicago Area Undergraduate Research Symposium, 2011.

Working papers

- > Mason W, Brenken D, -, Cruz Castillo RG, St-Martin Cormier O, Sedal A. <u>Acoustic Tactile Sensing for Mobile Robot Wheels</u>. In submission, 2024.
- > -. Word2vec Conjecture and A Limitative Result. In submission, 2019.

Service to the community

- > Primary reviewer. IEEE Transactions on Robotics (T-R0), 2023.
- > Primary reviewer. International Conference on Learning Representations (ICLR), 2023.
- > Primary reviewer. International Conference on Machine Learning (ICML), 2021.
- > Primary reviewer. The Association for Computational Linguistics conference (ACL), 2021.
- > Primary reviewer. North American Chapter of the Association for Computational Linguistics conference (NAACL), 2021.
- > Primary reviewer. European Chapter of the Association for Computational Linguistics conference (EACL), 2021.
- > Primary reviewer. International Conference on Learning Representations conference (ICLR), 2021.
- > Primary reviewer. Association for the Advancement of Artificial Intelligence conference (AAAI), 2021.
- > Primary reviewer. Neural Information Processing Systems conference (NeurlPS), 2020.
- > Primary reviewer. Empirical Methods in Natural Language Processing conference (EMNLP), 2020.
- > Primary reviewer. International Conference on Learning Representations conference (ICLR), 2020.
- > Student volunteer. Symposium on Theory of Computing (STOC), 2020.
- > Primary reviewer. Association for Computational Linguistics conference (ACL), 2020.
- > Primary reviewer. International Joint Conference on Artificial Intelligence (IJCAI), 2020.
- > Secondary reviewer. Artificial Intelligence and Statistics (AISTATS), 2020.
- > Primary reviewer. International Conference on Learning Representations (ICLR), 2019.
- > Secondary reviewer. Neural Information Processing Systems conference (NeurIPS), 2019.
- > Student volunteer. Reinforcement Learning and Decision Making (RLDM), 2019.
- > Primary reviewer. International Journal of Robotics Research (IJRR), 2018.
- > Primary reviewer. International Conference on Learning Representations conference (ICLR), 2018.
- > Primary reviewer. International Symposium on Robotics Research (ISRR), 2017.
- > Secondary reviewer. Neural Information Processing Systems conference (NIPS), 2017.
- > Primary reviewer. Spatial-Semantic Representations in Robotics workshop at Robotics: Science and Systems conference (RSS), 2017.

Experience

Machine learning research, Symbolica Al, San Francisco, CA. *Machine learning researcher*, April 2024 - Present

- > Automated theorem proving with large language models and reinforcement learning.
- > Representation learning and latent diffusion modeling with transformers.

Software engineering, Apple Special Project Group, Santa Clara, CA.

Machine learning engineer (contractor), October 2023 - March 2024

- > Support perception, annotation tooling, annotation ops teams at the special project group.
- > Make data ingestion and annotation ETL workflows more reliable, scalable and maintainable.

Teaching assistant to *An Introduction to Machine Learning Theory*, Toyota Technological Institute at Chicago, Chicago, IL

Teaching assistant (to Professor Avrim Blum), March 2022 - June 2022

- > Provided detailed technical explanations at office hours and over emails.
- > Automated the returning of graded homeworks via email with Gmail APIs.

Technical consulting, Waymark Inc, Detroit, MI

Independent contractor, January 2018 - February 2018

> Prototyped and advised the CEO on an abstractive summarization system.

Teaching assistant to Duckietown, Toyota Technological Institute at Chicago, Chicago, IL

Teaching assistant (to Professor Matthew Walter), October 2017 - December 2017

- > Created material for the hands-on self-driving robotics course.
- > Provided technical assistance to students.

Research in Abstractive Summarization, Harvard University, Cambridge, MA

Visiting Research Intern (hosted by Professor Alexander Rush), July 2017 - September 2017

- > Re-implemented state-of-the-art methods in paragraph-to-sentence summarization.
- > Maintained the open-sourced OpenNMT-py repository.

Data Science and Analytics, Strikingly Inc, Shanghai, China

Data Scientist, February 2015 - August 2015

- > Designed an improved web analytics implementation workflow.
- > Recruited and managed a data engineer.
- > Analyzed user behaviors, user acquisition campaigns, user referral programs.
- > Defined business growth/health metrics and implemented monitoring dashboards.

Application of Artificial Neural Network in NLP, Toyota Technological Institute at Chicago, Chicago, IL *Student Visitor* (of Professor Kevin Gimpel and Professor Mohit Bansal), June 2014 - December 2014

- > Read and reviewed relevant academic articles.
- > Implemented a neural network library in Python (optimized with NumPy).

Neurological Research, Towle Lab, University of Chicago, Chicago, IL *Research Assistant* (to Professor Vernon L. Towle), November 2012 – December 2014

- > Studied language processing via electrocorticographic data.
- > Developed novel methods for registering intracranial electrodes.
- > Implemented state-of-the-art medical image analysis and visualization software.

Sociological Research, Knowledge Lab, University of Chicago, Chicago, IL *Research Assistant* (to Professor James Evans), October 2012 – December 2013

- > Built a machine learning pipeline to predict sociological attributes from Google StreetView images.
- > Built a web application for collecting graph-structured information from users.
- > Analyzed author networks induced by co-authorship and citations.

TwiThinks, a startup project, Chicago, IL and Cambridge, MA *Co-founder*, April 2011 – January, 2014

- > Won web track in MIT-CHIEF Business Plan Contest at Massachusetts Institute of Technology
- > Built a prototype twithinks.com to visualize the Twitter users' reactions to 2012 presidential election.
- > Initiated and completed #ivoted map on election day which received 20K pageviews within 6 hours.
- > Featured on MIT-CSAIL news and a Swiss national news outlet Tages-Anzeiger.

Summer Research Experience in Physics, University of Chicago, IL

Technical Support for Quantum Computing Project (Professor <u>David Schuster</u>) and Photon Detector Project (Professor <u>Henry Frisch</u>), June 2009 - September 2009, June 2010 - September 2010, June 2011 - September 2011

- > Modeled the secondary electron emission process, implemented simulation programs, and analyzed experiment signal data.
- > Learnt basic signal processing and printed circuit board design.
- > built a custom spectrum analyzer and coded a custom GUI program.

Computer Science Department and Mathematics Department, University of Chicago, IL

Teaching Assistant for Mobile Computing, Introduction to Programming (C++), Grader for Introduction to Scientific Computing and Honors Calculus, October 2010 – November 2011

> Graded more than 30 students' work per week with detailed corrections, comments and guidance.

Honors

- > <u>Best paper award finalist</u> at Association for Computational Linguistics conference (ACL), 2019.
- > Best app award at an invited hackathon in Shanghai, for a social chat app prototype, 2015.
- > 2nd place in <u>BattleHack hackathon in Chicago</u>, for a facial recognition-assisted social payment app, 2014.
- > Ranked 164th on Kaggle, 2013.
- > Co-founder of the Engineering Society at the University of Chicago, 2010.
- > 23rd team (out of 138 teams) at International Collegiate Programming Contest (ICPC) regional, 2010.
- > AP scholar after self-studying and scoring 5/5 on nine AP subjects, 2007.
- $> 2^{nd}$ place at Alamo regional Science & Engineering Fair; Honorable Mention at Texas state, 2007.

Skills

- > Programming languages: python, javascript, C, C++, CUDA, Java, prolog, SQL.
- > Robotics: ROS, RGBD data.
- > "Big data" software stack: Scikit-Learn, Spark, ElasticSearch, Hadoop, Pandas, IPython.
- > Web development: React, D3js, WebGL, HTML5, CSS3, Node.js, PostgreSQL, MongoDB.
- > Software: Atom editor, Tmux, Docker, Eclipse, Git, Mathematica, Octave/Matlab, LaTeX, Linux.
- > Web API's: Amazon Web Services, DigitalOcean, Google Cloud Platform, Twitter API, WeChat API.
- > Fluent in Mandarin and Cantonese.

Interests

- > Personal: skiing, climbing, automotive technologies, dog training, cooking, drawing, fixing electronics.
- > Academic: cognition, physics, quantum mechanics, logic.